SPASTICITY ASSESSMENT IN THE NEUROLOGICAL CLIENT

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SPASTICITY DEFINITION

- A Motor disorder from an Upper Motor Neuron Lesion
- Cerebral origin spasticity characteristics
 - Hyperexcitability of monosynaptic pathways and hyperactive reflexes
 - Velocity Dependent increased resistance to passive limb movement
 - Rapid rise in excitability
 - Lance JW 1980
- Pathological Neuromuscular activation and hyper-resistance around joints due to modification of visco-elastic muscle components"
 - Andringa et al 2019

PREDICTORS OF SPASTICITY

"Reduced sensorimotor function was the most important predictor both for any and severe spasticity, and spasticity could be predicted with high sensitivity and specificity 10 days poststroke."

Opheim et al. Neurology®2015;85:873–880

PREVALENCE

- In stroke:
 - 4-27% in the first month post stroke
 - I7-46% in the first 3 months post stroke
 - 38% by 12 months post stroke
 - More common in chronic phases of stroke
- In TBI:
 - Varies from 13-20%
- Prevalent in SCI, MS and CP diagnoses
- Dong et al 2017, Intiso et al 2020

TO TREAT OR NOT TO TREAT

- Risk of no treatment:
 - Pain/discomfort
 - Contractures and skin breakdown
 - Decreased UE/LE function
 - Decreased Mobility
 - Poor QoL

ASSESSMENT

Table 1 Patient complaints and examination findings associated with spasticity		
Complaints	Clinical Signs	
 Muscle stiffness or tightness Muscle spasms Clonus (shaking) Pain Difficulty performing voluntary movement Limb deformity (cosmetic or functional concern) 	 Resistance to passive movement Clonus Spasms Co-contraction of agonist and antagonist muscles Spastic dystonia Decreased passive range of motion Abnormal posture Limb deformity 	

ASSESSMENT

Resistance to Passive Movement (Not a true indicator of spasticity)

Scales:

- Modified Ashworth Scale and Tardieu Scales
 - Poor sensitivity to change
 - Poor inter-rater reliability
- Triple Spasticity Scale
 - Good test-retest reliability and inter-rater reliability
 - 3 subsections: Stretch (slow and fast), clonus and dynamic muscle length

Observe function!

UPPER AND LOWER EXTREMITY NEURORECOVERY STAGES

Hierarchical Properties of the Fugl-Meyer Assessment Scale Motor Function Sections



Comparison of sequence of stepwise recovery described by Twitchell 6 and Brunnstrom 7 with the stages and scale items used by Fugl-Meyer et al. 1

SELECTIVE MOVEMENT SCREENING FOR THE UPPER AND LOWER EXTREMITY

- LE selective movement screen
 - Performed in sitting:
 - No volitional movement
 - Flexor or Extensor Synergy
 - Seated isolated hip flexion
 - Isolated and graded knee flex/extension
 - Isolated ankle DF/eversion with knee flexion or extension
 - Performed in standing:
 - Ankle DF
 - Hip abduction w/ ankle DF/eversion
 - Isolated knee flexion without hip flexion

- UE selective movement screen
 - Performed in sitting and then progressed to standing and gait
 - Initiation of scapular adduction/depression
 - Initiation of shoulder flexion and abduction in context of a reach
 - Elbow flexion/extension
 - Forearm supination/pronation
 - Isolated wrist extension
 - Isolated finger flexion/extension

SELECTIVE MOVEMENT SCREEN





Muscle

Pectoralis Major

Origin: <u>Clavicular part:</u> anterior surface of medial half of clavicle <u>Sternocostal part:</u> anterior surface of sternum, Costal cartilages of ribs 1-6 <u>Abdominal part:</u> Anterior layer of rectus sheath

Insertion: Crest of greater tubercle of humerus

Glenohumeral IR with anterior humeral head and scapular abduction

• Possible contracture or pain

Presentation

Testing

Passive: Move the Shoulder toward ER, abduction, and elevation

- Vary level of elevation for different heads of muscle

Active: Ask patient to quickly swing arms out to side from midline



SIGNS OF PECTORALIS MAJOR SPASTICITY AND ASSESSMENT



Muscle	Presentation	Testing
Pectoralis Minor Origin: Anterior surface, costal cartilages of ribs 3-5	 Scapula Position: Ant tilt Humeral head positioning anteriorly 	Move the scapula into depression w/ a lift of the anterior upper rib cage and clavicle, while elbows remain flexed
Insertion: Medial border and coracoid process of scapula	Pec Major Sternal head Clavicular head	Pec Major excised
		Pec Minor Pec Major excised Coracobrachialis

SIGNS OF PECTORALIS MINOR SPASTICITY AND ASSESSMENT



Muscle	Presentation	Testing
Subscapularis Origin: Subscapular fossa of scapula	 Scapula Position: Abducted Glenohumeral IR and adduction with anterior humeral head 	Move the humerus into abduction while stabilizing the lateral border of the scapula
humerus and the front of the capsule of the shoulder-joint.	ACROMION PROCESS CORACOID PROCESS CLAVI	CLE
	SUPRASPINATUS SU	IBSCAPULARIS:
	TRANSVERSE	PERIOR PORTION
	HUMERUS	IDDLE FIBERS
	BICEPS:	
	SHORT HEAD	USCULAR LOWER TACHMENT

SIGNS OF SUBSCAPULARIS SPASTICITY AND ASSESSMENT



Muscle	Presentation	Testing
Teres Major Origin: Inferior angle and lower part of the lateral border of the scapula	• Glenohumeral IR and humeral extension with anterior humeral head	Move the humerus into flexion while stabilizing the lateral border of the scapula
Insertion: Intertubercular sulcus (medial lip) of the humerus	teres major	

SIGNS OF TERES MAJOR SPASTICITY AND ASSESSMENT



aponeurosis)

Muscle	Presentation	Testing
Biceps Brachii Origin: Short head: Apex of the <u>C</u> oracoid process of the scapula	 Posturing of elbow flex with forearm supination Shoulder pain – long head of biceps causing a superior subluxation 	Passive – Supinate the forearm and quickly extend the elbow while stabilizing the humeral head
Long head: Supraglenoid tubercle of the scapula	BICEPS BRACHII Long head	Active – Have the patient quickly extend elbow while the forearm is toward supination
Insertion: Radial tuberosity of the radius and deep fascia of forearm (insertion of the bicipital	BRACHIALIS	

BRACHIORADIALIS

SIGNS OF BICEPS SPASTICITY AND ASSESSMENT



Muscle

Brachioradialis -

Origin: Lateral supracondylar ridge of humerus, lateral intermuscular septum of arm

Insertion: (Proximal to) styloid process of radius (can pronate/supinate forearm)

Presentation

Muscle definition with elbow flex, usually forearm neutral/mid-position



Testing

Passive – Place the forearm in a neutral position and quickly extend the elbow while stabilizing the humeral head

Active – Have the patient quickly extend elbow while the forearm arm is in a neutral position

SIGNS OF BRACHIORADIALIS SPASTICITY AND ASSESSMENT



Muscle

Brachialis

Origin: Distal half of anterior surface of humerus

Insertion: Coronoid process of the ulna; Tuberosity of ulna (no effect position forearm; flexes elbow regardless forearm position)

Presentation

Elbow flex – when forearm is pronated and/or supinated



Testing

Passive – Pronate the forearm and quickly extend the elbow while stabilizing the humeral head Active – Have the patient quickly extend elbow while arm is toward Pronation to inhibit the biceps influence

SIGNS OF BRACHIALIS SPASTICITY AND ASSESSMENT



Muscle	Presentation	Testing	AA
Pronator Teres Origin: <u>Humeral head</u> : medial supracondylar ridge of humerus Ulnar head: Coronoid	 Positioned in forearm pronation Unable to supinate with elbow flexed 	Passive: Quickly move forearm into supination with elbow flexed and extended	Supinator Pronator teres
process of ulna Insertion: Lateral surface of radius (distal to supinator)		Active: Ask the patient to quickly turn their "palm up" with elbow flexed and extended	Ulna Pronator quadratus

SIGNS OF PRONATOR TERES SPASTICITY AND ASSESSMENT



Muscle P	Presentation	Testing	and and a
Supinator•Origin: Lateral epicondyle•of humerus, radial•collateral ligament,•annular ligament,•supinator crest of ulna•Insertion: Lateral,posterior, and anteriorsurfaces of proximal thirdof radius	Positioning in forearm supination Forearm supinates with full elbow extension Limited forearm pronation	Passive: Quickly move forearm into pronation with elbow flexed and extended Active: Ask the patient to quickly turn their "palm down" with elbow flexed and extended	Supinator Pronator Quadratus

SIGNS OF SUPINATOR SPASTICITY AND ASSESSMENT



Muscle	Presentation	Testing	
Flexor Carpi Ulnaris Origin: Medial epicondyle of humerus, olecranon and posterior border of ulna	Tendon prominent with passive wrist extension with fingers flexed or wrist positioned ulnar deviation	Passive: With the elbow extended, quickly move wrist into extension and radial deviationActive: Ask the patient to quickly extend their wrist leading with their thumb to encourage radial deviation	f (ra
Insertion: Pisiform bone, hamate bone, base of metacarpal bone 5			



SIGNS OF FLEXOR CARPI ULNARIS SPASTICITY AND ASSESSMENT



Muscle	Presentation	Testing
Flexor Carpi	Tendon prominent with	Passive: With the elbow extended,
Origin: Medial	fingers flexed or wrist	and ulnar deviation
epicondyle of humerus	positioned radial deviation	
Insertion: Bases of		extend their wrist leading with
metacarpal bones 2-3		their 5 th finger to encourage ulnar
		deviation



SIGNS OF FLEXOR CARPI RADIALIS SPASTICITY AND ASSESSMENT



Muscle	Presentation	Testing
Flexor Digitorum Profundus Origin: Proximal half of anterior surface of ulna, interosseous	 With wrist extended: Excessive finger flex at the DIP joints Responds to quick stretch into finger extension Unable to achieve full Passive finger 	Passive: With wrist in flexion; quickly lift the tips of fingers at the DIP joints toward extension Active: Ask the patient to
membrane Insertion: Palmar surfaces of distal phalanges of digits 2-5	 extension Resistance into wrist extension 	quickly "flick" finger open into finger or to release their grip on an object, noting the DIP joint extension



SIGNS OF FLEXOR DIGITORUM PROFUNDUS SPASTICITY AND ASSESSMENT



Muscle Presentation

Testing

Flexor Digitorum Superficialis

Origin: Humeroulnar head: Medial epicondyle of humerus, coronoid process of ulna

Radial head: Proximal half of anterior border of radius

Insertion: Sides of middle phalanges of digits 2-5

- With wrist extended: Excessive finger flex at the PIP joints
- Responds to quick stretch into finger extension
- Unable to achieve full Passive finger extension
- Resistance into wrist extension

Passive: With wrist in flexion; quickly lift the tips of fingers at the PIP joints toward extension

Active: Ask the patient to quickly "flick" finger open into finger or to release their grip on an object, noting the PIP joint extension



SIGNS OF FLEXOR DIGITORUM SUPERFICIALIS SPASTICITY AND ASSESSMENT


Muscle	Presentation	Testing
Lumbricals Origin: Tendons of flexor digitorum profundus muscle	 With wrist neutral: posturing with MP flex and DIP + PIP extension decreased spread at distal metacarpal arch 	Passive: With wrist in neutral; quickly lift the tips of fingers at the MP joints toward extension
' Insertion: Extensor expansion of hand	DIP PIP MCP	Active: Ask the patient to quickly "flick" finger open into finger or to release their grip on an object, noting the distal carpal arch spread and MP extension abilities
	 Criginate from FDP tendons. Criginate from FDP tendons. Insert on dorsal expansion extensor tendons Training4Climbing.com 	

SIGNS OF LUMBRICALS SPASTICITY AND ASSESSMENT



Muscle	Presentation	Test
Flexor Pollicis Longus Origin: Anterior surface of radius and interosseous membrane Insertion: Palmar surface of distal phalanx of thumb	 Flexed thumb IP joint with varying degrees of flexion at the Ist MCP joint Resistance to passive & quick stretch 	Passive: With wrist in neutral; quickly lift the tip of thumb at the DIP joints toward extension Active: Ask the patient to quickly give a "thumbs up", watching the DIP
		thumb extension



SIGNS OF FLEXOR POLLICIS LONGUS SPASTICITY AND ASSESSMENT



ioning thumb in hand Passive: With CMC and MCP neutral; quick	wrist in ly lift the tip of	
tance to passive toward exten	MCP joints sion	
Active: Ask the quickly give a watching the extension	ne patient to "thumbs up" MCP joint	fie d mi
	ch and quick stretch onse Active: Ask th quickly give a watching the extension	ch and quick stretch onse Active: Ask the patient to quickly give a "thumbs up" watching the MCP joint extension



SIGNS OF FLEXOR POLLICIS BREVIS SPASTICITY AND ASSESSMENT



Muscle	Presentation	Test
Adductor Pollicis	I st MC is adducted	Passive: With wrist in
Origin: <u>Transverse head:</u>	toward palm of hand	neutral; quickly move the
Palmar base of	toward the 3 rd MC	I st MC out into
metacarpal bone 3		abduction, away from the
Oblique head: Capitate		palm of the hand
bone, palmar bases of		
metacarpal bones 2 & 3		Active: Ask the patient
		to quickly spread hands
Insertion: Medial base of		outward as to catch a
proximal phalanx 1 (via		large ball
ulnar sesamoid bone)		
,		



SIGNS OF ADDUCTOR POLLICIS SPASTICITY AND ASSESSMENT



QUESTIONS REGARDING UE SPASTICITY?



Muscle

Hip Adductor Magnus

Origin: <u>Adductor part</u>: Inferior pubic ramus, ischial ramus <u>Ischiocondylar part:</u> Ischial tuberosity

Insertion: <u>Adductor part</u>: Gluteal tuberosity, linea aspera (medial lip), medial supracondylar line <u>Ischiocondylar part</u>: Adductor tubercle of femur

Presentation

- Unable to spread legs for hygiene
- Cross over stepping gait



Test

Passive: Quickly pull the femur outward into abduction

Active: Ask the patient to quickly spread the knees in supine or sitting; See catching or resistance with stepping out to the side especially with increased speed

SIGNS OF HIP ADDUCTOR SPASTICITY AND ASSESSMENT



Muscle

Presentation

- Stiff legged walk with knee flexion
- Difficulty bending knee is sitting or supine
- Possible knee pain and patella alta



Test

Passive: Quickly push the knee toward flexion

Active: Ask the patient to quickly bend the knees in supine or sitting; observe patient in gait both at comfortable and fast pace

Quadriceps

Origin: Anterior inferior iliac spine, intertrochanteric line of femur, greater trochanter, gluteal tuberosity, ,anterior surface of femoral shaft

Insertion: Tibial tuberosity via patellar ligament, patella lateral and medial condyle of tibia

SIGNS OF QUADRICEPS SPASTICITY AND ASSESSMENT



Muscle	Presentation	Test
Hamstrings Origin: Ischial tuberosity, linea aspera and lateral supracondylar line of	 Limited knee extension in supine Limited terminal knee extension at terminal swing in gait w/ or w/o 	Passive: Quickly pull the tibia outward into knee extension
femur Insertion: Medial surface of tibia and	catch seen	Active: Ask the patient to quickly extend the knee or kicking action; Also watch terminal swing phase with
	Turberosity of ischium (long head)	gait at laster speeds.

Turberosity of ischium Semitendinosus Semitendinosus Semitendinosus Lateral condyle of tibia and ibibla head

SIGNS OF HAMSTRINGS SPASTICITY AND ASSESSMENT



Muscle

Gastrocnemius

Origin: <u>Lateral head</u>: Posterolateral aspect of lateral condyle of the femur <u>Medial head</u>: Posterior surface of medial femoral condyle, popliteal surface of femoral shaft

Insertion: Posterior surface of the calcaneus via the calcaneal tendon

Presentation

- Clonus reflex present
- Foot postured in plantarflexion with possible contracture



Test

Passive: Quickly pull the foot upward into dorsiflexion w/ knee extended

Active: Ask the patient to quickly pull their toes up toward dorsiflexion in supine or sitting; Have patient walk at faster speed and note clonus or inability to release from terminal swing to pre-swing; Have patient jump/hop

SIGNS OF GASTROCNEMIUS SPASTICITY AND ASSESSMENT



Muscle

Soleus

Origin: Soleal line, medial border of tibia, head of fibula, posterior border of fibula

Insertion: Posterior surface of calcaneus (via calcaneal tendon)

Presentation

- Clonus reflex present
- Foot postured in plantarflexion with possible contracture



Test

Passive: Quickly pull the foot upward into dorsiflexion w/ knee flexed

Active: Ask the patient to quickly pull his toes up toward dorsiflexion in supine or sitting; Have patient walk at faster speed and note clonus or inability to release from terminal swing to pre-swing; Have patient jump/hop

SIGNS OF SOLEUS SPASTICITY AND ASSESSMENT



Muscle

Tibialis Posterior

Origin: Posterior surface of tibia, posterior surface of fibula and interosseous membrane

Insertion: Tuberosity of navicular bone, all cuneiform bones, cuboid bone, bases of metatarsal bones 2-4

Presentation

Foot postured in plantarflexion
 and inversion, possible forefoot
 adduction, involuntary positioning
 into inversion with knee extension



Passive: Quickly pull the foot upward into dorsiflexion and eversion w/ knee flexed

Active: Ask the patient to quickly pull his toes up toward dorsiflexion and eversion while sitting; Have patient walk at faster speed and note calcaneal inversion/forefoot supination throughout gait cycle; Have patient step quickly side to side



SIGNS OF TIBIALIS POSTERIOR SPASTICITY AND ASSESSMENT



Muscle

Origin: Lateral surface of tibia,

Insertion: Medial cuneiform bone,

interosseous membrane

base of 1st metatarsal bone

Tibialis Anterior

Presentation

TA tendon becomes more prominent with the associated calcaneal

inversion



Test

Passive: Quickly pull the foot downward into plantarflexion and eversion w/ knee flexed

Active: Ask the patient to walk at faster speed and note if the TA tendon becomes more prominent over the dorsum on the foot with the associated calcaneal inversion

SIGNS OF TIBIALIS ANTERIOR SPASTICITY AND ASSESSMENT



Muscle	Presentation	Test
Flexor Digitorum Longus Origin: Posterior surface of tibia (inferior to soleal line)	 Toe curling especially in standing and gait Ankle inversion Painful toes 	Passive: Quickly move the toes into extension Active: Standing on the impaired LE,
Insertion: Bases of distal phalanges of digits 2-5	tibialis	observe toe response while asking the patient to step forward and back both slow and fast speeds
	flexor digitorum longus	Flexor hallucis longus Flexor digitorum longus statarsal Cuboid Cuboid Sustentaculum tai

SIGNS OF FLEXOR DIGITORUM LONGUS SPASTICITY AND ASSESSMENT





Muscle	Presentation	Test
Flexor Digitorum Brevis Origin: Medial process of calcaneal tuberosity, plantar aponeurosis and intermuscular septum	 Toe curling especially in standing and gait Painful toes 	Passive: Quickly move the toes into extension Active: Standing on the impaired LE, observe toe response while asking
Insertion: Middle phalanges of digits 2-5		the patient to step forward and back both slow and fast speeds
	Flexor digitorum brevis Abductor hallucis	
	Abductor digiti minimi —	

Layer 1

FLEXOR DIGITORUM BREVIS SPASTICITY AND ASSESSMENT



Muscle

Flexor Hallucis Longus

Origin: (Distal 2/3 of) Posterior surface of fibula, interosseous membrane, posterior intermuscular septum of leg, fascia of tibialis posterior muscle

Insertion: Base of distal phalanx of great toe

Presentation

- Big toe flexion especially in standing and gait
- Ankle inversion



Passive: Quickly move the big toe into extension

Test

Active: Standing on the impaired LE, observe toe response while asking the patient to step forward and back both slow and fast speeds



SIGNS OF FLEXOR HALLUCIS LONGUS SPASTICITY AND ASSESSMENT



Muscle	Presentation	Test
Extensor Hallucis Longus Origin: Middle third of medial surface of fibula, interosseous membrane Insertion: Base of distal phalanx of great toe	 Noted big toe extension especially with active movement Painful dorsum of big toe 	Passive: Quick move the big toe into plantarflexion
		9 B T.

SIGNS OF EXTENSOR HALLUCIS LONGUS SPASTICITY AND ASSESSMENT



Muscle

Origin: Medial process of calcaneal

tuberosity, flexor retinaculum, plantar

Insertion: Base of proximal phalanx of

Abductor Hallucis

aponeurosis

great toe

Presentation

• Big toe abduction especially in standing and gait

 Forefoot Adduction (also seen with flexor hallucis brevis) and supination

Test

Passive: Quickly move the big toe in toward adduction. Usually not addressed unless forefoot supination still seen after Toe flexors and Tibialis Posterior spasticity is addressed



SIGNS OF ABDUCTOR HALLUCIS SPASTICITY AND ASSESSMENT



QUESTIONS REGARDING LE SPASTICITY?



MEDICAL MANAGEMENT

- Oral Medications:
 - Dantrolene
 - Diazepam
 - Gabapentin
 - Baclofen
 - Tizanidine

- Side Effects
 - Sedation
 - Drowsiness
 - Dizziness
 - Hypotension
 - Weakness
 - Risk of withdrawal

MEDICAL MANAGEMENT

- Intrathecal Baclofen Pump Therapy
 - Screening process prior to surgical procedure
 - Gradual titration
 - Possible Side Effects
 - Weakness
 - Device malfunction
 - Cerebrospinal fluid leak



Bethoux F 2015
MEDICAL MANAGEMENT

- Local Injections
 - Phenol/alcohol neurolysis
 - Botulinum Toxin
 - Botox ®
 - Dysport ®
 - Xeomin ®



Bethoux F 2015, Intiso et al 2020

- Possible Side Effects/
 - Local
 - Transient pain
 - Local hematoma and swelling
 - Systemic
 - Generalized weakness
 - Dysphagia
 - Fatigue
 - Flu-like symptoms
 - Possible promotion of Nab (neutralizing antibodies) that counteract the effect of the neurotoxin with repeated injections and high doses

STANDARD OF CARE

- Intramuscular injections performed every 3-4 months
- Evaluated prior to first dose and 2 weeks after injection
- Dosage determined by physician based on severity of spasticity and number of sites
 - Dose escalation paced with onset of therapeutic effect
 - Approved dosage is different between toxins
- Therapeutic effect approximately 3 months

POST MEDICAL MANAGEMENT

- Therapy Indicated
 - Gain ROM and establish stretching regimen
 - Strengthen antagonists
 - Task-specific training to improve function and use of hemiplegic UE
- Some evidence to support casting post injection prolongs effect

Farina et al 2008, Ro et al 2020

QUESTIONS?



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