# Managing the Hemiparetic Upper Extremity

Treatment Interventions for Upper Extremity Hemiplegia

Comparing Evidence Based Treatment Approaches and How They Apply to Client Centered Rehabilitation

A brain left to its own devices, without facilitation and structure, does not move to its highest level.

"

Chris Hagen

" Based on the strengths and limitations of decades of research, clinicians need clarity on the difference between evidence-based practice and experimenta practice. Many models have stood the test of time and vigorous tiers of research. Others are new and intoxicating in their novelty, but the depths of their testing is still up for debate. "

- Steve Childress

# Arm and Hand Function: What do we need it for?

- Support and Balance
- Pushing and Pulling
- Holding and Carrying
- Manipulating
- Reaching
- Sensing
- Throwing
- Tool use
- Communicating and Gesturing
- Intimacy

"Do the treatment strategies I use with my client(s) empower them to regain these functions?"

"Do the treatment strategies I use allow my client (s) to achieve as many of these skills as possible?"

"Can the movements / skills I address be carried over to other functions, activities, roles, demands, etc?"

### Support and Balance













# Pushing













# Pulling









# The Neuro Plasticity Bus



Are you driving it or are you riding along?

Task **Functional** "Just Right Complexity Context Challenge" Integration of affected extremity hold on there buddy Task (ies) Specificity you forgot something? TEH SHET Cognitive Intensity of Engagement Practice **Activity Dependent** Sensorimotor Cortical Experience Reorganization

# Neuroplasticity How to get it, How to drive it

- Remediation (where possible)-
  - Suiding individual toward *efficient* motor movement strategies for task performance.
  - Goal: The largest repertoire of movement possible.
    - More motor skills = more options
- Assessment and Treatment are constantly integrated
  - Are they ready for more complexity , less complexity? Just right as it is?

#### Assessment is always ongoing!!!

- Task selection
- Use your eyes, use your hands.
- Watch it, feel it, grade it!
- Facilitate & Inhibit Simultaneously-
  - Encourage- efficient motor strategies
  - Discourage-maladaptive movements
  - Use your words, use your hands (feet, hips, knees, forearms, etc)
  - Get creative with "biofeedback".

Roby-Brami et al, 2003

# Neuroplasticity How to get it, how to drive it.

- Maintain optimal Level of Difficulty
  - "Just Right Challenge"
- Integration of Affected Extremities in Functional Tasks
- Activity Dependent Plasticity
  - Skillful manipulation of motor practice variables.
- Characteristics that maximize motor learning
  - Task complexity
    - Cognitive engagement vs. exercise- active problem solving
  - Task difficulty/intensity
    - New skill acquisition vs. repetition of current abilities
  - Characteristics that maximize motor learning
    - Task specificity
    - Sensory experience influence

Bayona, Bitenski, Salter, Teasell (2005)

# Utilizing Principles of NDT to accomplish graded improved use of hemiparetic UE into daily life tasks

The arm bone is attached to the trunk bones! The trunk bone is attached to the leg bones! They are all driven by the head bone!

# Arm/Hand on Stationary Surface: Low



Goal: UE use in Balance and Support

# Arm on Stationary Surface: Moving Body away from Arm/Hand (Arm on Body)



Goal: Precursor to active reach. Active recruitment of movements opposite of maladaptive synergy patterns and spasticity bias.

## Developing Range in Active Support Body away from arm/hand (Body on Arm)



Goal: Developing Range of motion to move through various planes of motion at multiple joints.

# Transitional Movements





Goal: Use of UE to assist with transitions of body positions while integrating LE and trunk movements. (Whole body task- not just UE specific)

### Isometric Activities



Goal: Isometric strength required for carrying objects. Bilateral in this scenario

# Dynamic transitions of isometric, to concentric to eccentric movements for carrying and transporting.





Goal: Replicating real life transitions of muscle control to move objects through functional ranges of motion

# Bimanual- Carrying with Transitions





Goal: Performing carrying and transporting motor functions integrated with walking/gait. Whole body function.

## Let's Get Vertical- Partial Weight Bearing





Goal: Developing active control in higher ranges opposite of maladaptive synergies. Pre-cursor for anti-gravity open chain reach in higher ranges and pushing objects in higher ranges.

# Let's Get Mobile



Goal: Concentric and eccentric control of reaching motions opposite of maladaptive synergies/compensations.

# BOS With Reach



Goal: Integrating base of support demands with active reaching to functional objects. Active reaching isn't always upward. Active reaching requires more than just a shoulder and an elbow.

# Arm with Body- Vertical



Goal: Active pushing with increasing resistance / force requirements in vertical ranges of motion. Integration of base of support and trunk requirements to perform task.

# Adding Complexity- Multiple Joint Coordination







Goal: Multi joint coordination needed to don and doff hat. Bilateral object used to reduce weight of arm in closed chain. Realistic sequence of several joints moving through the functional task (timing, goal directed, sequences of movement)

## Let's Get Functional







Goal: Increase complexity of movements in more complex functional scenarios as patient gains the ability. Bilateral task: Both arms are performing different movements.

# Evidence Based Treatment Interventions for UE Recovery



"MAY"

The current literature fails to account personal and environmental ones that Perspectives on evidence based practice in rerspectives on evidence based practice in ABI rehabilitation. "Relevant Research": rehabilitation interventions. Who decides?

> What factors affect clinical decision-making about access

systematic review

to stroke rehabilitation? A

Verity Longley, Sarah Peters, Bowen Caroline Swarbrick and Audrey

Gutenbrunner & Nugraha, 2020

Decision Making in Evidence Based Medicine- Proposing a Forth Factor.

**EDUCATION & ADMINISTRATION** 

Decision-Making in Evidence-Based Practice in **Rehabilitation Medicine** 

Proposing a Fourth Factor

Christoph Gutenbrunner, MD, PhD, FRCP, and Boya Nugraha, MS, PhD

## **Client Centered Practice Mode**



FIGURE 2. The model of functioning, disability, and health (WHO 2001, with permission).

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Patient's status of well being and rehabilitation outcomes are heavily influenced by their individual circumstances (social determinants of health, personal beliefs, motivations, education, emotional status, etc).

# Influencers of Clinical Decision Making



FIGURE 3. Schematic figure of the four factors relevant for decision-making in evidence-based practice (factors in blue, green, and gray from Sackett et al., <sup>3</sup> 1996, modified).

Health System: Insurance, staffing, equipment, economy, access to evidence-based literature, time constraints of staff, productivity expectations, quality of training, rules and regulations, etc...

#### **Clinical Judgement:**

- Fills in the gaps (does literature explain it all?)
  Which intervention is best for this client?
  - This situation?

Patient's Values, Goals, & Preferences:

 Which intervention is most likely to fit with this individual ?

#### Relevant Scientific Evidence:

- Understanding it
- Critiquing it
- Applying it

## Evidence Based Practice in Neuro-rehabilitation

- **Goal of EBP-** Use the scientific knowledge gained in research in clinical practice.
  - Cannot be done without clinicians
  - "Clinicians have a moral responsibility to know about the strength of available evidence and to consider this when making decisions about clinical management."
  - Example- Treating a Child with CP has a dauting number of considerations
    - Child's age, type of CP, Motor ability, cognitive ability, behavior and motivation, family involvement and support, home environment, and education placement.
  - Results from RCT's are not directly applicable to an individual patient.
    - Clinicians are left with the need to make "interpretive leaps"
      - What evidence best supports each individual patient?
  - Hierarchy of Clinical Trials- How does this fit into Neuro-Rehabilitation clinical practice?
    - Hierarchy is derived from medicine and pharmacological research point blank and straight forward.
    - Neuro-Rehabilitation is behavioral in nature
      - Highly dependent on therapist patient interactions.
      - Highly dependent on the individual factors surrounding the individual client.

# Evidence Based Practice

#### Lack of Core UE Outcomes

- Quality of Evidence is directly impacted by the Quality of Outcome Measures
  - > As movement science has evolved, have our outcome measures evolved/improved at the same rate?
  - **Fugl Meyer: Developed in 1975**
  - ARAT: 1981
  - FIM- Developed in 1983
- Miller et al suggesting an implementation of Core UE Outcomes that all UE studies would adopt.
  - Reduce variability in assessments leading to lack of apples-to-apples comparisons.

- Does better UE impairment outcomes directly affect functional outcomes?
  - FIM , Barthel ceiling affects, biased toward learned non-use (increased function through compensation).
  - Lack of quality outcome measures for IADL.

# Evidence Based Review: Critiquing how

#### Wiseman-Hakes et al (2005)

- Client centered practice:
  - My patient is an individual with:
    - Unique goals, interests, motivations, SES, support systems, education, cognitive status, physical status, etc.
- Treatment interventions should reflect your • patient's unique factors
- Does EBP support this? •

C. Wiseman-Hakes et al. / Perspectives on e	widence based practice in ABI rehabilitation
Tab	le 3
Critical appraisal of evidence	e: A brief guide for clinicians
Factors for consideration	Additional questions for clinicians
<ul><li>Inclusion exclusion criteria provided</li><li>Representative of the population</li></ul>	Do the participants match the patients/clients in my practice?
<ul> <li>Population samples described according to demo- graphics, length of time post injury, severity of in- jury &amp; impairment,</li> <li>Sample size</li> <li>Attrition (who dropped out and why?)</li> </ul>	Are those who dropped out the more vulnerable 'in need', harder to treat group?
<ul> <li>Were efforts made to create contrasting treatment conditions, and to equate samples and decrease bias (i.e. randomization, blind raters, monitoring of treatment provision, analysis of attrition)</li> </ul>	Are these individual or group interventions relevant to the individual I'm treating?
<ul> <li>Outcomes; were they standardized, do they measure at the level of impairment, activity or participation</li> </ul>	What outcome is my patient/client aiming for
– Were the findings statistically significant?	Does this equate with functionally and or clinically significant for my patient/client?
<ul> <li>Is the description of the intervention sufficient to replicate</li> </ul>	Do I have the means to carry out this treatment in my practice
<ul> <li>Was there follow-up to ensure maintenance and gen- eralization of gains</li> </ul>	Will the gains be maintained?
– Are there SSD data available for the intervention of choice?	If so, did the study use multiple baselines across sub- jects and behaviours, as well as randomization of the

on	s for cl	inic	ians		
its	match	the	patients/clients	in	my

order of active treatment phases

# Research Participants vs. Real World Patients

- Example of Exclusion Criteria from a common study of Upper Extremity Rehabilitation
- Does this Reflect the Patients we see in clinic every day?

Individuals were excluded from the study if they presented with any of the following:

- Mostly resolved UE hemiparesis indicated by > 58/66 on the UE Fugl-Meyer<sup>28</sup> motor and coordination score
- Ataxia out of proportion to weakness, NIHSS ataxia > 0
- Severe UE sensory impairment indicated by anesthesia to light touch on the UE Fugl-Meyer assessment of sensation and proprioception
- Neglect, as determined by NIHSS neglect item ≥ 1
- Inability to give informed consent for study participation
- 6. Severe arthritis or orthopedic problems that limit passive range of motion (ROM) of UE joints indicated by shoulder flexion < 90°, shoulder abduction < 90°, shoulder external rotation < 45°, elbow extension < 20° from full flexion, forearm supination and pronation < 45° from neutral, wrist extension less than neutral, finger extension < 30° from full flexion</p>
- Pain that interferes with daily activities as indicated on the pain subcomponent, pain score of 1 for at least 2 joints on the pain/ ROM Fugl-Meyer UE assessment
- 8. Balance and transfer function that requires more than contact-guard assistance
- History of sustained alcohol or drug abuse in the last 6 months
- 10. Previous or current enrollment in other rehabilitation or drug intervention studies
- Residence too far from the training site to participate reliably
- 12. Receipt of oral or injected antispasticity medications during study treatment

# Lessons from the LEAPS Trial

- Clinical Question: Am I performing Evidence Based Practice or am I performing experimental practice?
- The Locomotor Experience Applied Post-Stroke (LEAPS)
- Body Weight supported Treadmill Walking.
  - Positive Effects in small trials.
  - Sold A LOT of LiteGait Systems.
  - LEAPS Study was a Multi-Center RCT from 6 Clinical Centers with 408
     Study participants.

Result: Locomotor training, including the use of body-weight support in

stepping on a treadmill, was not shown to be superior to progressive exercise at home managed by a physical therapist.



# Investigating Current EBP for Hemiplegic UE Neuro-Recovery

Finding the Pathway from Research to my Patient

## Mass Practice

- No study has systematically determined a critical threshold of rehab intensity needed to obtain a benefit (MacLellan et al 2011).
- Assumptions:
  - If threshold is not reached
    - there is less recovery of the affected arm
    - Patients develop compensatory movements (Schweighofer et al 2009).

#### The Big Question: Where is the threshold????

- Lang et al. (2007)
  - found practice of task-specific, functional upper extremity movements occurred in only 51% of rehab sessions.
  - Average number of repetitions per session was only 32

 Technology (video gaming, robotics) may be necessary to achieve the maximum number of reps (Saposnik et al. 2010).



## Task Oriented Training

- Likely the most researched and accepted Practice Model in stroke recovery.
- Emergent movement kinematics are organized differently for real objects vs. simulated.
  - Virtual reality?
  - Upper limb kinematics more efficient reaching for a telephone vs. reaching for a stick.
- Practice of problem solving- more effective for learning than drill like repetition.
- At least 3 ingredients must be involved:
  - Be challenging solve the motor problem
  - Be progressive and optimally adapted
  - Solicit active participation
- "Intensity" in the literature has defied a clearly accepted definition.



# Task Oriented Training and ASAP – The ICARE RCT (Accelerated Skill Acquisition Program)

**CONCLUSIONS AND RELEVANCE**—Among patients with motor stroke and primarily moderate upper extremity impairment, use of a structured, task-oriented rehabilitation program did not significantly improve motor function or recovery beyond either an equivalent or a lower dose of UCC upper extremity rehabilitation. These findings do not support superiority of this program among patients with motor stroke and primarily moderate upper extremity impairment.

- Weinstein, Wolf, et al.
- Comparison of "Structured TOT Program vs. Usual and Customary OT.
- Phase 3, Single Blinded Trial
- N= 361 Participants from 7 hospitals.
  - ASAP
  - Dose equivalent OT (DEUCC) group : 30 1 hour sessions x 10 weeks
  - Monitoring only OT (UCC) : Only monitored regardless of dose.

# TOT and ASAP – Results of the ICARE Trial

- Typical outpatient treatment sessions last 36 minutes
  - Patients engage in an average of only 12 purposeful actions within an otherwise "unstructured treatment session."
  - "This program is principle based, impairment focused, task specific, intense, engaging, collaborative, self-directed, and patient centered; it has been previously described and feasibility tested"
  - Primary Outcome Measure: Wolf Motor Function Test
  - Secondary Outcome Measure: Stroke Impact Scale (Hand section).
  - "The results suggest that usual and customary community-based therapy, provided during the typical outpatient rehabilitation time window by licensed therapists, improves upper extremity motor function and that more than doubling the dose of therapy does not lead to meaningful differences in motor outcomes."
    - More dosage may not mean "more better."
    - Is there a dose ceiling?



Pictures of Im Confused Meme - kidskunst.info

### NDT- Neuro Developmental Treatment

- Developed by Bobath when lack of neuroscience research was available.
  - As evidence has evolved, NDT's guiding principles and treatment approach(es) has adapted
- Unique Concepts:
  - Priority: Maximize motor recovery and function becomes WAY EASIER
    - Functional Compensations for Safety progress away from "easiest" to "what gets you to the next level"
      - ie. Weak arm in the jacket first, up with the good leg-down with the bad leg
  - Teach patients to move in the most (normal) efficient way possible.
  - POSTURE MATTERS! A LOT
  - > All body parts must work together eventually, why not include them all as early as possible?
  - **Quality of movement** can be trained.
  - Hands on a patient can teach them lots..... LOTS!
  - Priority of impairments- "If I could only fix one thing, what would have the biggest impact?"

# NDT Enablement Model



Fits into the Patient Centered Model of Care / Rehabilitation.

Increase Functional Participation and Independence through remediation of maladaptive movement patterns.

- Top-Down Approach vs. Bottom Up.
  - Or somewhere in the middle

 $\blacktriangleright$  Remediation of efficient movement = Ability to function in various environments.

- Flexible Independence
- > Whole Body Approach
- Environmental change= no problem

# NDT

- Slammed by academicians
  - Lack of evidence
  - Takes too long
  - Does not support intensity of practice
    - When I say intensity, I mean repetitions/mass practice, not complexity. NDT totally supports complexity.
  - "Researching NDT is like.....hard"
    - Treatment philosophy- not protocol
    - There are many right answers
    - Skill of the clinician matters
    - Not all patients are the same



# Constraint Induced and Modified Constraint

# Induced Therapy

Two key features:

 Constraint of the unaffected arm
 Mass practice of affected arm

Overcoming "learned nonuse"

Use dependent cortical reorganization

#### Suitable Candidates :

- At least 20 degrees of active wrist ext
- 10 degrees of active finger ext
- minimal sensory or cognitive deficits

*In the EXCITE trial, only 6.3% of patients screened were eligible* 

Constraint Induced	Modified Constraint Induced
2-3 week training program	~10 week training program
Constraint wear= 90% of waking hours	Constraint wear varies study to study (3-6 hours)
3-6 hours of intense upper extremity training (in person session) .	45 minutes of intense upper extremity training 3 days per week
Transfer package	Transfer package

# Constraint Induced Therapy

- Necessary Ingredients for CIT
  - **Shaping:** Motor task is gradually made for challenging or less challenging
  - Task Practice
  - Transfer Package
    - Behavioral techniques that transfers gains from clinical setting to the real world

#### Transfer Package:

- Behavioral Contract: Must be signed and visible in patient's home at all times.
- Motor Activity Log is performed each session (14 items)
  - Active problem solving is performed to encourage increased MAL scores between sessions.
- Rich feedback from therapist, positive encouragement, regular systemic encouragement.
- CIT Primary Purpose: Increase the amount of UE use in daily practice
  - "Constraint induced therapy is very rarely pretty"
  - Little to no attention on Quality of Movement

#### MOTOR ACTIVITY LOG

To monitor the progress your stroke affected arm is making, it is important that we can see how much you use it <u>on a daily basis</u> and how well. Below is a list of activities one would normally do throughout the day. After each activity, please mark the appropriate score that best describes how you use your stroke affected arm. For some people, it may be helpful to get some feedback from family members who see you perform your daily activities. Each activity has two categories that you will score.

1. Amount of use: How often are you performing these activities at home?

Instructions:

<ol><li>Quality of movement: How easy or difficult is it for you to perform these activities?</li></ol>													
Amount of use:			Quality of Movement:										
0 = I do not use my weaker arm for this task.				0 = I never used my weaker arm for this type of task.									
1 = I occasionally try to use my weaker arm for this task.			1 = I move my weaker arm during the task but it is of										
2 <u>= I</u> use my weaker arm but my stronger arm does most			little use (very poor).										
of the task.			2 = My weaker arm is of some use but										
3 = I use my weaker arm for about 50% of the t	ask.		needs some help from the stronger arm. It moves										
4 = I use my weaker arm almost as much as I di	d befo	re	very slowly, or with difficulty. (Poor).										
my stroke.			3 = My weaker arm is used during the task,										
5 = I use my weaker arm as much as I did befor	e <u>my</u>	my but movements are slow or are made only with some effort.											
stroke.			4 = The movements made by my weaker arm are almost										
		normal, but not quite as fast or accurate as normal.											
			5 =	I can	use my v	weake	r arm for that activity with little to no						
				difficu	ılty. (nor	·mal).							
Activity			Amour	ıt of u	se:		Quality of Movement						
(Circle the score that best fits you)													
1. Turn on a light with a light switch	0	1	2	3	4	5	0	1	2	3	4	5	
2. Open drawer	0	1	2	3	4	5	0	1	2	3	4	5	
<ol><li>Remove an item of clothing from drawer</li></ol>	0	1	2	3	4	5	0	1	2	3	4	5	
<ol><li>Pick up phone</li></ol>	0	1	2	3	4	5	0	1	2	3	4	5	
<ol><li>Wipe off a kitchen counter or other surface</li></ol>	0	1	2	3	4	5	0	1	2	3	4	5	
<ol><li>Get out of a car</li></ol>	0	1	2	3	4	5	0	1	2	3	4	5	
7. Open refrigerator	0	1	2	3	4	5	0	1	2	3	4	5	
<ol><li>Open a door by turning a <u>door knob</u></li></ol>	0	1	2	3	4	5	0	1	2	3	4	5	
9. Use a TV remote control	0	1	2	3	4	5	0	1	2	3	4	5	
<ol><li>Wash your hands</li></ol>	0	1	2	3	4	5	0	1	2	3	4	5	
11. Turning water on/off with knob/lever on faucet	0	1	2	3	4	5	0	1	2	3	4	5	
12. Dry your hands	0	1	2	3	4	5	0	1	2	3	4	5	
<ol><li>Put on your socks</li></ol>	0	1	2	3	4	5	0	1	2	3	4	5	
<ol><li>Take off your socks</li></ol>	0	1	2	3	4	5	0	1	2	3	4	5	
15. Put on your shoes	0	1	2	3	4	5	0	1	2	3	4	5	
<ol><li>Take off your shoes</li></ol>	0	1	2	3	4	5	0	1	2	3	4	5	
17. Get up from a chair with arm rests	0	1	2	3	4	5	0	1	2	3	4	5	
18. Pull chair away from table before sitting down	0	1	2	3	4	5	0	1	2	3	4	5	
<ol><li>Pull chair toward table after sitting down</li></ol>	0	1	2	3	4	5	0	1	2	3	4	5	
20. Pick up a glass, bottle, drinking cup, or can	0	1	2	3	4	5	0	1	2	3	4	5	
21. Brush your teeth	0	1	2	3	4	5	0	1	2	3	4	5	
22. Use a key to unlock a door	0	1	2	3	4	5	0	1	2	3	4	5	
23. Carry an object in your hand	0	1	2	3	4	5	0	1	2	3	4	5	
24. Use a fork or spoon for eating	0	1	2	3	4	5	0	1	2	3	4	5	
25. Comb your hair	0	1	2	3	4	5	0	1	2	3	4	5	
26. Pick up a cup by a handle	0	1	2	3	4	5	0	1	2	3	4	5	
27. Button a shirt	0	1	2	3	4	5	0	1	2	3	4	5	
28. Eat half a sandwich or finger foods	0	1	2	3	4	5	0	1	2	3	4	5	
×													
Score	Tota	l:					Tota	al:					

Shown here: Modified take home version

# **Constraint Induced Therapy**

- In the acute phase:
  - Etoom et al. (2016) : Performed 36 trial Meta-analysis
    - **Found that CIT performed in the first 6 months : non-significant effect**
  - Conflicting evidence of timing
    - > Taub & Morris 2001 showed benefit
    - > VECTORS Trial (Dromerick et al. 2009) : found evidence *that it may be harmful* 
      - Inverse effect using the ARAT
      - Some controversy regarding activity dependent lesion enlargement
- Chronic Phase
  - Hundreds of small studies performed
  - Largest study was the EXCITE study
    - > 222 subject multi site study over 3 year period.
    - Strongest evidence to date supporting CIT
    - Subjects with CIT showed improved WMFT and MAL scores.
      - Maintained at 12 and 24 month follow up.

## CIT

#### Other considerations

- Ambulatory devices?????
- \$\$\$\$\$ Cost-lack of coverage for traditional model.
  - Modified model is even challenging if documentation names the treatment by it's name.
    - Encouragement was made to only describe movements, exercises, ect
- Patients who qualify: Need to have a strong motivation and high frustration tolerance.
- Roots for CIT:
  - Founder/Inventor: Dr. Edward Taub was a psychologist
  - Many of the CIT Providers are psychologists; not PT/OTs
  - They know psychology, not movement!!

### Trunk Restraint Therapy

- Studied throughout the 1980's to modern day.
- Treatment approach: Perform active reaching and grasping in a chair with a restraint preventing forward leaning or compensatory trunk movements.
  - Trunk compensations: Often utilized by stroke patients to replace shoulder movement in forward reach.
  - Does inhibiting trunk movement foster improved movement at the shoulder?

Trunk Restraint to Promote Upper Extremity Recovery in Stroke Patients: A Systematic Review and Meta-Analysis Neurorehabilitation and Neural Repair 2014, Vol. 28(7) 660–677 © The Author(s) 2014 Reprints and permissions: sagepub.com/journals/Permissions.nav DOI: 10.1177/1545968314521011 mr.sagepub.com \$SAGE

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#### Abstract

Background. Many stroke patients exhibit excessive compensatory trunk movements during reaching. Compensatory movement behaviors may improve upper extremity function in the short-term but be detrimental to long-term recovery. *Objective*. To evaluate the evidence that trunk restraint limits compensatory trunk movement and/or promotes better upper extremity recovery in stroke patients. *Methods*. A search was conducted through electronic databases from January 1980 to June 2013. Only randomized controlled trials (RCTs) comparing upper extremity training with and without trunk restraint were selected for review. Three review authors independently assessed the methodological quality and extracted data from the studies. Meta-analysis was conducted when there was sufficient homogenous data. *Results*. Six RCTs involving 187 chronic stroke patients were identified. Meta-analysis of key outcome measures showed that trunk restraint has a moderate statistically significant effect on improving Fugl-Meyer Upper Extremity (FMA-UE) score, active shoulder flexion, and reduction in trunk displacement during reaching. There was a small, nonsignificant effect of trunk restraint on upper extremity function. *Conclusion*. Trunk restraint has a moderate effect on reduction of upper extremity impairment in chronic stroke patients, in terms of FMA-UE score, increased shoulder flexion, and reduction in excessive trunk movement during reaching. There is insufficient evidence to demonstrate that trunk restraint improves upper extremity function and reaching. There is independent evidence to demonstrate that trunk restraint improves upper extremity function and reaching trajectory smoothness and straightness in chronic stroke patients. Future research on stroke patients at different phases of recovery and with different levels of upper extremity impairment is recommended. Results: Trunk restraint group showed improved active range of motion at the shoulder and elbow than control groups.

*Take away:* Restricting compensatory movements enhances motor recovery at the proximal UE.

# Electrical Stimulation (FES, NMES).

- 2 Comparison patterns- ETP (experimental therapy programs) vs. no treatment – ETP vs. placebo – Experimental vs. Conventional pooled results from 31 studies.
- Results: 6 experimental treatment programs were found to be beneficial for stroke recovery include:
  - CIMT
  - FES Motor
  - Mirror therapy
  - Mixed approach
  - Robot assisted
  - Task oriented training



#### Archives of Physical Medicine and Rehabilitation

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#### Antima d' Angleza Madicine and Rehabilization

#### **REVIEW ARTICLE (META-ANALYSIS)**

#### Effectiveness and Superiority of Rehabilitative Treatments in Enhancing Motor Recovery Within 6 Months Poststroke: A Systemic Review



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# Electrical Stimulation (FES, NMES).

Sub-group analysis: – showed that experimental studies were not superior to conventional treatment, regardless of intensity."

"Experimental training programs can be considered optional but not mandatory substitutions to conventional training."

After years of searching for experimental treatment programs, researchers have yet to identify one treatment that is more effective than conventional training."

# Functional Electrical Stimulation

- Impact on ADL: 7 studies were suitable for review based on authors' criteria for the data provided.
- No difference was found between FES and control groups
- Significant benefit of FES: shown when started in the acute phase.
- Chronic Phase: (after 1 year)
  - no difference was observed.

#### RESEARCH

Effectiveness of upper limb functional electrical stimulation after stroke for the improvement of activities of daily living and motor function: a systematic review and meta-analysis

John Eraifej<sup>1\*†</sup>, William Clark<sup>1†</sup>, Benjamin France<sup>1†</sup>, Sebastian Desando<sup>1†</sup> and David Moore<sup>2</sup>

Franciso et al (1998): *Significantly improved results if FES initiated within 2 months.* 

Mangold et al reported improvement in the Barthel Index hand subscore within 2 months.

Timing of FES: *Strongest benefit if started prior to 2 months post stroke.* Unlikely benefit after 12 months post stroke.



# Functional Electrical Stimulation- Lin et. al (cont)

- Impairment or "Tasks" (tasks) Based Studies
  - 17 studies included
    - 7 studies using the FMA-
      - **FES showed a statistically significant difference.**
      - Studies supported improvement when FES started within 2 months CVA
      - Past 1 year-> suggests little treatment effect would be anticipated
  - Box and Blocks used as Outcome Based Measure
    - Showed no significant difference.
    - Very low participant numbers (n=30 tx group and 24 control)
    - Chronic stroke bias? All performed after 1 year- Eraifej et al, 2017 (continued)

# Functional Electrical Stimulation- Lin et. al (cont)

All of these studies scored very low on the quality using the GRADE subscale

Poor patient blinding, substantial heterogeneity, low participant numbers

"This systematic review found insufficient evidence of clinical benefit to support use of FES in clinical practice; however this may reflect a lack of high-quality trials in the field."

Suggests need for an RCT that includes intervention groups at 2 different time points to clarify the optimal time window.

**Therapeutics and Clinical Risk Management** 

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REVIEW

# Mirror Therapy in Stroke Rehabilitation: Current Perspectives

This article was published in the following Dove Press journal: Therapeutics and Clinical Risk Management

Advantage to Mirror Therapy: Can be used in even completely hemiparetic stroke survivors.

Unlike other varied approaches.

28 Studies including RCTs.

- Motor Impairment: Was reduced in all but 5 studies- using FMA.
- Dexterity, fine motor, gross motor: Improvement in 10 of the 28 studies.
- Spasticity: Only measured in 4 of the 28 studies.
  - > 3 of the 4 showed no significant effect.
- Sensation: 6 of 28 studies measured sensation.
  - ▶ 4 of 6 report improved response to noxious, tactile, or temperature.

 Therapeutics and Clinical Risk Management
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 REVIEW

 Mirror Therapy in Stroke Rehabilitation: Current

This article was published in the following Dove Press journal: Therapeutics and Clinical Risk Management

Perspectives

- 12 of 28 studies studied chronic stroke.
  - 16 of the remaining studies might be biased by spontaneous recovery.

#### Intervention Details:

- Varies greatly
- 50% of studies included bilateral training; 50% used only unaffected UE motor training.
- 4 studied used Task based activities.
- Intensity:
  - Varies from 1 week to 8 weeks.
  - Frequencies ranges from 3-5 sessions per week.
  - Sessions range from 20-60 minutes.
- Control groups: Vary greatly.
  - Poor consistently in intensity, frequency or sham vs. "conventional therapy."

 Therapeutics and Clinical Risk Management
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 REVIEW

 Mirror Therapy in Stroke Rehabilitation: Current
 Perspectives

This article was published in the following Dove Press journal: Therapeutics and Clinical Risk Management

- Limitations in Studies:
  - Small sample sizes were included in nearly all studies.
  - Mechanism of the mirror box :
    - Does not allow for reaching activates such as overhead motion or rotation.
      - Improvements in proximal movements less pronounced than distal improvements in wrist and hand motion
    - Lack of Quality of movement analyzed.

Conclusion: Although the included studies had high heterogeneity, meta-analysis provided some evidence that mirror therapy may significantly improve motor function of the upper limb in patients with stroke. Further well-designed studies are needed.

- 11 Trials with a total of 347 patients.
  - ▶ 172 Received MT ; 175 underwent conventional rehabilitation.

Total of 4, 072 records were identified, of which 11 trials were included.

JRM

**7** Studies included chronic stroke greater than 6 months.

Intervention: Ranged from 400 minutes to 1920 minutes

J Rehabil Med 2018; 50: 8-15



#### **REVIEW ARTICLE**

#### MIRROR THERAPY FOR MOTOR FUNCTION OF THE UPPER EXTREMITY IN PATIENTS WITH STROKE: A META-ANALYSIS

Wen ZENG, BSc<sup>1</sup>, Yonghong GUO, BSc<sup>2</sup>#, Guofeng WU, PhD<sup>3</sup>#, Xueyan LIU, MSc<sup>4</sup> and QIAN FANG, BSc<sup>5</sup> From the <sup>1</sup>Postgraduate College of Guizhou Medical University and Guizhou Provincial People's Hospital, <sup>2</sup>Nursing College of Guizhou Medical University, <sup>3</sup>Department of Emergency Neurology, Affiliated Hospital of Guizhou Medical University, Guiyang City, Guizhou Province, Int of Endocrinology, First Affiliated Hospital of Jinan University, Guangzhou City, Guangdong Province and <sup>5</sup>Department of anagement, Guizhou Provincial People's Hospital, Guiyang, Guizhou Province, China. #These authors contributed equally to

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- Limitations of the Studies Meta-analysis
  - Unable to draw clear definitive conclusion that MT was the primary factor driving recovery.

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- Significant heterogeneity
  - Large Range of motor severity.
    - Bruunstrom level 1 to IV
      - Higher levels of recovery at time of study usually indicate larger improvement.
  - Large mean age of study participants (45 to 64.9 years old).
    - Research that shows younger survivors show more recovery vs. older survivors.
- Risks of bias in the included studies were varied.



Cochrane Database of Systematic Reviews

#### Virtual reality for stroke rehabilitation (Review)

Laver KE, Lange B, George S, Deutsch JE, Saposnik G, Crotty M

- 72 trials 2470 participants.
- 35 new studies (in addition to the studies included in the previous version of this review).
- Study sample sizes were generally small
- Interventions varied in terms of both the goals of treatment and the VR devices used.
- "While there are a large number of randomized controlled trials, the evidence remains mostly low quality when rated using the GRADE system."

Control groups usually received no intervention or therapy based on a standard-care approach.



Cochrane Database of Systematic Reviews

Virtual reality for stroke rehabilitation (Review)

Laver KE, Lange B, George S, Deutsch JE, Saposnik G, Crotty M

Primary outcome: "results were not statistically significant for upper limb function "

- when comparing virtual reality to conventional therapy.
- However, <u>VR in addition to usual care</u> = a statistically significant difference between groups

Supports use of treatment model as an adjunct to standard care-not a replacement!

Results were statistically significant for the activities of daily living (ADL) outcome (moderate-quality evidence);



Cochrane Database of Systematic Reviews

Virtual reality for stroke rehabilitation (Review)

Laver KE, Lange B, George S, Deutsch JE, Saposnik G, Crotty M

#### Authors' conclusions

- "We found evidence that the use of virtual reality and interactive video gaming was not more beneficial than conventional therapy approaches"
- "VR may be beneficial in improving upper limb function and activities of daily living function when used as an adjunct to usual care (to increase overall therapy time)."

There was a trend suggesting that higher dose (more than 15 hours of total intervention) was preferable as were customized virtual reality programs"; however, these findings were not statistically significant.

#### 38 Articles published (2005-2019.)

The primary outcome:

 Proportional improvement on the Wolf Motor Functioning Test, Fugl-Meyer, or Action Research Arm Test.

#### Results:

Archives of Physical Medicine and Rehabilitation

journal homepage: www.archives-pmr.org Archives of Physical Medicine and Rehabilitation 2020;101:885-96 d Rehabilitati

Check for updates

#### SYSTEMATIC REVIEW

Effectiveness of Virtual Reality- and Gaming-Based Interventions for Upper Extremity Rehabilitation Poststroke: A Meta-analysis

Reneh Karamians, PsyD,<sup>a</sup> Rachel Proffitt, OTD, OTR/L,<sup>b</sup> David Kline, PhD,<sup>c</sup> Lynne V. Gauthier, PhD<sup>d</sup>

- On average, VR or gaming interventions produced an improvement of 28.5% of the maximal possible improvement.
- Dose and severity of motor impairment did not significantly influence rehabilitation outcomes.
- Treatment gains were significantly larger overall (10.8%) when the computerized training involved a gaming component vs just visual feedback.

VR or gaming interventions showed a significant treatment advantage (10.4%) over active control treatments.



#### Archives of Physical Medicine and Rehabilitation

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Check for updates

journal homepage: www.archives-pmr.org Archives of Physical Medicine and Rehabilitation 2020;101:885-96



#### SYSTEMATIC REVIEW

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#### **Conclusions:**

"Overall, VR- or gaming-based upper extremity rehabilitation poststroke appears to be more effective than conventional methods."

*"*Further in-depth study of variables affecting improvement, such as individual motor presentation, treatment dose, and the relationship between them, are needed."

# Robotics

#### REVIEW

Systematic review with network metaanalysis of randomized controlled trials of robotic-assisted arm training for improving activities of daily living and upper limb function after stroke

Jan Mehrholz<sup>1\*</sup>, Alex Pollock<sup>2</sup>, Marcus Pohl<sup>3</sup>, Joachim Kugler<sup>1</sup> and Bernhard Elsner<sup>1</sup>

- **55 RCTs including 2664 patients.**
- Primary Purpose:
  - Effect on ADLs
  - Effect on impairment related movement/function
- "The Results of Robotic assisted arm therapy were comparable to conventional therapy"
- "Indirect comparisons show that no one type of robotic device is any better or any worse than any other device, providing no clear evidence to support the selection of specific types of robotic devices"

# Open Access



## Robotics

Robot-assisted therapy for upper-limb rehabilitation in subacute stroke patients: A systematic review and metaanalysis

Brain and Behavior

WILEY

Wai-tong Chien<sup>1</sup> | Yuen-yu Chong<sup>1</sup> | Man-kei Tse<sup>1</sup> | Cheuk-woon Chien<sup>2</sup> | Ho-yu Cheng<sup>1</sup>

- Eleven RCTs involving 493 participants were included for review.
- Effects of RT when compared to usual care on motor control, functional independence, upper extremity performance, muscle tone, and quality of life were similar to conventional therapy.

**REVIEW ARTICLE** 

The quality of this evidence was generally rated as low-to-moderate.

# Therapist Challenge:



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